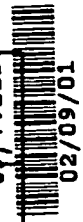


Part of #4

Sheet 1 of 3

31036 U.S. PTO  
09/781123



<b>Form PTO-1449 Modified</b>  <b>List of Patents and Publications Cited by Applicant</b> (Use several sheets if necessary)  <b>U.S. Department of Commerce Patent and Trademark Office</b>	<b>Docket No.</b> RSW9-2000-0140-US1	<b>Application No.</b> As yet unassigned
	<b>Applicant(s)</b> B.E. Aupperle	
	<b>Filing Date</b> Herewith	<b>Group</b> --

**U.S. PATENT DOCUMENTS**

Examiner Initials		Document No.	Date	Name	Class	Subclass
SPM	AA	5,999,921	12/07/1999	Arsenault et al.	705	410
	AB	5,731,980	03/24/1998	Dolan et al.	364	464.2
	AC	6,064,995	05/16/2000	Sansone et al.	705	410
	AD	5,825,893	10/20/1998	Kara	380	51
	AE	6,050,486	04/18/2000	French et al.	235	101
	AF	6,018,717	01/25/2000	Lee et al.	705	13
	AG	5,721,781	02/24/1998	Deo et al.	380	25
	AH	5,809,241	09/15/1998	Hanel et al.	395	200.36
	AI	5,604,801	02/18/1997	Dolan et al.	380	21
SPM	AJ	6,067,234	05/23/2000	Kim et al.	361	737

**FOREIGN PATENT DOCUMENTS**

Examiner Initials		Document No.	Date	Country	Translation	
					Yes	No
	AL					
	AM					
	AN					
	AO					
	AP					
	AQ					
	AR					

<b>Examiner</b> <i>SPM. Allt</i>	<b>Date Considered</b> <i>10/16/04</i>
----------------------------------	--

Form PTO-1449 Modified		Docket No. RSW9-2000-0140-US1	Application No. As yet unassigned
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)		Applicant(s) B.E. Aupperle	
		Filing Date Herewith	Group --
U.S. Department of Commerce Patent and Trademark Office			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
SPM	AA	<a href="http://latimes.qpass.com/cgi-bin/qpass.cgi?QIID=lt99+90769&amp;LATID=895682">http://latimes.qpass.com/cgi-bin/qpass.cgi?QIID=lt99+90769&amp;LATID=895682</a> , <a href="http://latimes.com">latimes.com</a> , "More on Technology: System Will Allow Net Users Download Tickets," Karen Kaplan, Home Edition, Business Section, Page C-7, October 25, 1999	
	AB	<a href="http://philby.ucsd.edu/triton/overview.htm">http://philby.ucsd.edu/triton/overview.htm</a> , Overview, 10/19/99	
	AC	<a href="http://philby.ucsd.edu/triton/">http://philby.ucsd.edu/triton/</a> , Triton Ticket Home Page, 11/1/99	
	AD	Fujimura et al., "Digital-Ticket-Controlled Digital Ticket Circulation," Proceedings of the 8th USENIX Security Symposium, August 23-26, 1999	
	AE	Fujimura and Nakajima, "General-purpose Digital Ticket Framework," Proceedings of the 3rd USENIX Workshop on Electronic Commerce, August 31-September 3, 1998	
	AF	<a href="http://ucsdnews.ucsd.edu/publications/times/TimesV14N3.htm">http://ucsdnews.ucsd.edu/publications/times/TimesV14N3.htm</a> , UCSD Times, "UCSD Calendar of Events on the Web," Vol. 14, No. 3, December 1999/January 2000	
	AG	Terada et al., <i>Copy Prevention Scheme for Rights Trading Infrastructure</i> , pp. 1-20 (no date)	
	AH	<u>Lindsay Fraser Radio Show</u> , "Print Your Own Postage and Concert tickets - How Can That Be?" April 4, 2000	
	AI	<a href="http://www.estamp.com/our_company/pr_081600.html">http://www.estamp.com/our_company/pr_081600.html</a> , "E-Stamp and Atraxis Launch 'Print-A-Ticket', the First-Ever Airline Ticketing Trial, with LTU International Airways," August 16, 2000	
	AJ	<a href="http://www.admissioncontrol.com/html/abouttickets.cfm">http://www.admissioncontrol.com/html/abouttickets.cfm</a> (printed 9/22/00)	
	AK	<a href="http://newengland-partners.com/AboutSmartCards/SmartCardSlides2.htm">http://newengland-partners.com/AboutSmartCards/SmartCardSlides2.htm</a> , (printed 10/4/00)	
SPM	AL	<a href="http://www.activcard.com/activ/newsroom/news/04_MAR_00_us.html">http://www.activcard.com/activ/newsroom/news/04_MAR_00_us.html</a> , "ActivCard Delivers the ATM Experience to Network Computing," (printed 10/19/00)	
Examiner <i>SE B. M. Ault</i>		Date Considered <i>10/16/04</i>	

J1036 UNCLASSIFIED  
 09/18/123  
 02/09/01

Form PTO-1449 Modified		Docket No. RSW9-2000-0140-US1	Application No. As yet unassigned
List of Patents and Publications Cited by Applicant (Use several sheets if necessary)		Applicant(s) B.E. Aupperle	
		Filing Date Herewith	Group --
U.S. Department of Commerce Patent and Trademark Office			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
88m	AA	<a href="http://www.activcard.com/activ/products/end_user/activreader/index.html">http://www.activcard.com/activ/products/end_user/activreader/index.html</a> , "End-User Products," (printed 10/19/00)	
	AB	"ActivReader" catalog page (2000)	
	AC	<a href="http://www.activcard.com/activ/products/other/accessories/index.html">http://www.activcard.com/activ/products/other/accessories/index.html</a> , "Other Products & Accessories," (printed 10/19/00)	
	AD	<a href="http://www.gemplus.com/products/hardware/gcr400_fd.htm">http://www.gemplus.com/products/hardware/gcr400_fd.htm</a> , "Gemplus Hardware GCR400-FD Product Sheet", 9/13/00	
	AE	<a href="http://www.gemplus.com/products/hardware/gpr400.htm">http://www.gemplus.com/products/hardware/gpr400.htm</a> , "Gemplus Hardware GemPC 400 (ex GPR400) Product Sheet", 9/13/00	
	AF	<a href="http://www.gemplus.com/products/hardware/gcr410.htm">http://www.gemplus.com/products/hardware/gcr410.htm</a> , "Gemplus Hardware GemPC 410 (ex GCR410p) Product Sheet", 9/13/00	
	AG	<a href="http://www.gemplus.com/products/hardware/gcr420.htm">http://www.gemplus.com/products/hardware/gcr420.htm</a> , "Gemplus Hardware GemPC 420 Product Sheet", 9/13/00	
88m	AH	<a href="http://www.gemplus.com/products/hardware/gempc430.htm">http://www.gemplus.com/products/hardware/gempc430.htm</a> , "Gemplus Hardware GemPC 430 Product Sheet", 9/13/00	
	AI		
	AJ		
	AK		
	AL		
Examiner <i>JE B n. Aelot</i>		Date Considered 10/16/04	

J1036  
 09/18/12  
 02/09/01